

# **On Life-Cycle-Optimisation Problems in Materials and Deep Neural Network Approach**

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# Introduction

## Formal Definition

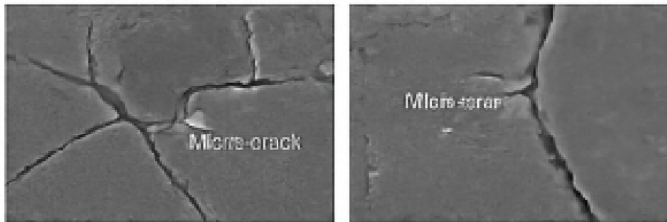
Life cycle optimization typically refers to the integration of objectives calculated using a life-cycle based framework into mathematical optimization problems.

- I. TURNER, N. BAMBER, J. ANDREWS, N. PELLETIER. *Systematic review of the life cycle optimization literature, and recommendations for performance of life cycle optimization studies*, *Renewable and Sustainable Energy Reviews*, **208** (2025), Id. 115058.

# Motivation

## Life cycle in materials

Due to [corrosion](#), [radiation](#), [external forces](#), or even [age](#), the life cycle of materials or some their parts may weaken. As a result, some multi-micro cracks, cavities, and other internal damages can appear inside of an elastic body.



**Problem 1.** How to infer the current state of such objects?

F.N. Airaud, R. Löhner, R. Wüchner, H. Antil. *Adjoint-based Determination of Weaknesses in Structures*, arXiv:2303.15329, 2023.

# Modeling of damage in elastic bodies

## Monographs

- M. Fremond, Non-smooth Thermomechanics, Springer, Berlin, 2002.
- M. Shillor, M. Sofonea, J.J. Telega, Models and Analysis of Quasistatic Contact, Lecture Notes in Physics 655, Springer, Berlin, 2004.

## The main idea

To model the material damage they propose to use a scalar damage field  $\zeta = \zeta(t, x)$  as an internal variable which measures the fractional decrease in the stress-strain response.

- (i) When  $\zeta = 1$  the material is damage-free;
- (ii) When  $\zeta = 0$  the material is completely damaged;
- (iii) When  $0 < \zeta < 1$  the material is partially damaged.

# The model for a control process in materials with damage evolution

Mainly following the motivation in Kuttler, we dwell on the following model for the control process:

$$-\operatorname{div} \boldsymbol{\sigma} = \mathbf{f} \quad \text{in } \Omega_T = (0, T) \times \Omega, \quad (1)$$

$$\boldsymbol{\sigma} = \zeta \mathbf{A} \mathbf{e}(\mathbf{u}) \quad \text{in } \Omega_T, \quad (2)$$

$$\mathbf{u} = 0 \quad \text{on } (0, T) \times \partial\Omega, \quad (3)$$

$$\zeta' - \kappa \Delta \zeta = \phi(\mathbf{e}(\mathbf{u}), \zeta) \quad \text{in } \Omega_T, \quad (4)$$

$$\zeta(0, \cdot) = \zeta_0 \quad \text{in } \Omega, \quad (5)$$

$$\zeta = 1 \quad \text{on } (0, T) \times \partial\Omega, \quad (6)$$

$$\zeta_* \leq \zeta(t, x) \leq 1 \quad \text{a.e. in } \Omega_T. \quad (7)$$

where the damage source term  $\phi : \Omega \times \mathbb{S}^N \times \mathbb{R}$  satisfies some Lipschitz continuity property and is such that whenever  $\zeta > 1$ ,  $\phi(\mathbf{e}(\mathbf{u}), \zeta) \leq 0$ , and  $\zeta_* : \Omega \rightarrow [0, 1]$  be a given  $L^1(\Omega)$ -function satisfying

$$\zeta_*^{-1} \in L^1(\Omega), \quad \zeta_*^{-1} \notin L^\infty(\Omega). \quad (8)$$

## Functional Spaces

- To each  $\zeta(t, x)$  we associate the space  $W_\zeta(\Omega_T)$  as the set of vector-functions  $\mathbf{u}$  for which

$$\|\mathbf{u}\|_\zeta = \left( \int_0^T \int_\Omega (\mathbf{u}^2 + \mathbf{e}^2(\mathbf{u})\zeta) \, dx dt \right)^{1/2} < \infty. \quad (9)$$

- For the typical choice of the damage source function

$$\phi(\mathbf{e}(\mathbf{u}), \zeta) = -\lambda_D \left( \frac{1 - \zeta}{\zeta} \right) - \frac{1}{2} \lambda_u \mathbf{e}(\mathbf{u}) \cdot \mathbf{e}(\mathbf{u}) + \lambda_w,$$

we set  $\mathcal{W} = \left\{ \zeta : \zeta \in \mathcal{Z}, \quad \frac{\partial \zeta}{\partial t} \in \mathcal{Z}' \right\}$ , where

$\mathcal{Z} = L^2(0, T; W^{1,q}(\Omega))$  for  $q < \frac{N}{N-1}$ ,

## Characteristic Features of the Proposed Model

- 1 The Dirichlet problem for the degenerate elasticity system

$$-\operatorname{div}(\zeta \mathbf{A} \mathbf{e}(\mathbf{u})) = \mathbf{f} \quad \text{in } \Omega_T, \quad \mathbf{u} = 0 \quad \text{on } (0, T) \times \partial\Omega. \quad (10)$$

is **ill-posed** even if  $\zeta$  belongs to the Mackenhout class  $A_2$ .

- 2 For some damage field  $\zeta(t, x)$  the BVP (10) can exhibit **non-uniqueness** of weak solutions, the Lavrentieff phenomenon, and other surprising consequences.

- 3 The initial-boundary value problem for the damage field

$$\zeta' - \kappa \Delta \zeta = \phi(\mathbf{e}(\mathbf{u}), \zeta), \quad \zeta(0, \cdot) = \zeta_0, \quad \zeta = 1 \quad \text{on } (0, T) \times \partial\Omega,$$

can admit **nonuniqueness** for distributional solutions.

## Open Questions.

### Portion 1.

- 1 Develop the concept of **approximating solutions** to the elasticity system with damage evolution;
- 2 Establish the **existence and uniqueness** of the weak solutions via approximation for the  $L^1$ -damage source function

$$\phi(\mathbf{e}(\mathbf{u}), \zeta) = -\lambda_D \left( \frac{1-\zeta}{\zeta} \right) - \frac{1}{2} \lambda_u \mathbf{e}(\mathbf{u}) \cdot \mathbf{e}(\mathbf{u}) + \lambda_w;$$

- 3 Study the existence of weak solutions to the original problem using the following relaxed version of the first equation

$$-\operatorname{div} (\zeta \mathbf{A} \mathbf{e}(\mathbf{u})) + \varepsilon \mathbf{u} = \mathbf{f} \quad \text{in } \Omega_T;$$

- 4 Find out whether the strain tensor  $\mathbf{e}(\mathbf{u}) = \{\mathbf{e}_{ij}(\mathbf{u})\}$  with

$$\mathbf{e}_{ij}(\mathbf{u}) = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad \forall i, j = 1, \dots, N.$$

possesses the high integrability property,  $|\mathbf{e}(\mathbf{u})| \in L^{2(1+\delta)}$  for some  $\delta > 0$ .



# Optimization Aspects in the Life-Cycle of Materials

## Intuitive Definition of Sustainable Controls

We say that a control (external force)  $\mathbf{f} \in L^2(0, T; L^2(\Omega)^N)$  is sustainable for the elasticity system

$$-\operatorname{div} \boldsymbol{\sigma} = \mathbf{f} \quad \text{in } \Omega_T = (0, T) \times \Omega, \quad (11)$$

$$\boldsymbol{\sigma} = \zeta \mathbf{A} \mathbf{e}(\mathbf{u}) \quad \text{in } \Omega_T, \quad (12)$$

$$\mathbf{u} = 0 \quad \text{on } (0, T) \times \partial\Omega, \quad (13)$$

$$\zeta' - \kappa \Delta \zeta = \phi(\mathbf{e}(\mathbf{u}), \zeta) \quad \text{in } \Omega_T, \quad (14)$$

$$\zeta(0, \cdot) = \zeta_0 \quad \text{in } \Omega, \quad (15)$$

$$\zeta = 1 \quad \text{on } (0, T) \times \partial\Omega, \quad (16)$$

$$\zeta_* \leq \zeta(t, x) \leq 1 \quad \text{a.e. in } \Omega_T, \quad (17)$$

if allows to achieve the following two goals:

- it optimizes a desired performance index, say, a tracking a profile;
- it reduces potential internal damage.

The question is how to describe this sort of controls formally.

# Possible Statements of Optimization Problems Leading to Sustainable Controls

## Variants of the Objective Functionals

$$\begin{aligned} J_1(\mathbf{f}, \mathbf{u}, \zeta) = & \int_0^T \int_{\Omega} |\mathbf{u} - \mathbf{u}_d|_{\mathbb{R}^N}^2 dxdt + \int_0^T \int_{\Omega} |\zeta - 1| dxdt \\ & + \int_0^T \int_{\Omega} \|\mathbf{e}(\mathbf{u})\|_{\mathbb{S}^N}^2 \zeta dxdt; \end{aligned} \quad (18)$$

$$\begin{aligned} J_2(\mathbf{f}, \mathbf{u}, \zeta) = & \int_0^T \int_{\Omega} |\mathbf{u} - \mathbf{u}_d|_{\mathbb{R}^N}^2 dxdt + \int_0^T \int_{\Omega} |\nabla \zeta| dxdt \\ & + \int_0^T \int_{\Omega} \frac{1}{\zeta} dxdt + \int_0^T \int_{\Omega} \|\mathbf{e}(\mathbf{u})\|_{\mathbb{S}^N}^2 \zeta dxdt; \end{aligned} \quad (19)$$

where  $\mathbf{f}$  belongs to a weakly compact subset  $\mathcal{F}_{ad} \subset L^2(\Omega; \mathbb{R}^N)$ .

## Characteristic Features of the Optimal Control Problems

- 1 Undes some special assumptions on the damage source function  $\phi = \phi(\mathbf{e}(\mathbf{u}), \zeta)$ , the optimization problems on the class of sustainable controls are **well-posed** whereas the corresponding elasticity system with damage evolution is **ill-posed**;
- 2 There are **no appropriate a priori estimates** for the weak solutions  $(\mathbf{u}, \zeta) = (\mathbf{u}(\mathbf{f}, \zeta), \zeta(\mathbf{f}, \mathbf{u}))$  of the degenerate elasticity system;
- 3 For different admissible controls  $\mathbf{f} \in \mathcal{F}_{ad}$  and, therefore, for different admissible damage fields  $\zeta : \Omega_T \rightarrow [0, 1]$ , the corresponding admissible solutions  $(\mathbf{f}, \zeta, \mathbf{u})$  of the optimal control problem **belong to different weighted spaces**.

## Open Questions.

### Portion 2.

- 1 Establish the existence of an optimal control provided the damage source function takes the form

$$\phi(\mathbf{e}(\mathbf{u}), \zeta) = -\lambda_D \left( \frac{1-\zeta}{\zeta} \right) - \frac{1}{2} \lambda_u \mathbf{e}(\mathbf{u}) \cdot \mathbf{e}(\mathbf{u}) + \lambda_w;$$

- 2 Find out whether sustainable controls can be attained in the limit as  $\varepsilon \rightarrow 0$  using the following relaxed version of the first equation

$$-\operatorname{div} ((\zeta)_\varepsilon \mathbf{A} \mathbf{e}(\mathbf{u})) = \mathbf{f} \quad \text{in } \Omega_T,$$

where  $(\cdot)_\varepsilon$  stands for the Steklov smoothing operator.

- 3 It is unknown whether there exists a control  $\mathbf{f} \in \mathcal{F}_{ad}$  such that the corresponding solutions  $(\zeta, \mathbf{u})$  satisfy the equations

$$\begin{aligned} -\operatorname{div} (\zeta \mathbf{A} \mathbf{e}(\mathbf{u})) &= \mathbf{f}, \\ \zeta' - \kappa \Delta \zeta &= \phi(\mathbf{e}(\mathbf{u}), \zeta) \end{aligned}$$

in the sense of  $L^2(Q_T)$ .

## Primary Goal

### Simplified Version of the Original System

For the coupled system

$$-\operatorname{div}(\zeta \nabla u) = f \quad \text{in} \quad \Omega_T = (0, T) \times \Omega, \quad (20)$$

$$u = 0 \quad \text{on} \quad (0, T) \times \partial\Omega, \quad (21)$$

$$\zeta' - \kappa \Delta \zeta = \phi(\nabla u, \zeta) \quad \text{in} \quad \Omega_T, \quad (22)$$

$$\zeta(0, \cdot) = \zeta_0 \quad \text{in} \quad \Omega, \quad \zeta = 1 \quad \text{on} \quad (0, T) \times \partial\Omega, \quad (23)$$

$$\zeta_* \leq \zeta(t, x) \leq 1 \quad \text{a.e. in} \quad \Omega_T, \quad (24)$$

the goal is to approximate the solution operator  $\Psi$ , which maps  $(f, \zeta_0)$  to the weak solution  $(\zeta, u)$  of (20)–(24), by a neural-network-based functional  $\Psi_\theta$  with trainable parameters  $\theta$ :

$$\Psi_\theta \approx \Psi : (f, \zeta_0) \mapsto (\zeta, u).$$

**Assumption 1**  
**For the source damage function**

$$\phi(\nabla u, \zeta) = -\lambda_D \left( \frac{1-\zeta}{\zeta} \right) - \frac{1}{2} \lambda_u |\nabla u|_{\mathbb{R}^N}^2 + \lambda_w;$$

**and for given  $f \in L^2(\Omega)$  and  $\zeta_0 \in C^2(\overline{\Omega})$ , there exists a pair  $(u, \zeta)$  such that**

$$\begin{aligned} u &\in C([0, T]; W^{2,2}(\Omega) \cap W_0^{1,2}(\Omega)), \quad 1 - \zeta \in C([0, T]; C_0(\overline{\Omega})), \\ \zeta_*(x) &\leq \zeta(t, x) \leq 1 \quad \text{in } \Omega_T, \end{aligned}$$

**and**

$$-\operatorname{div}(\zeta \nabla u) = f \quad \text{a.e. in } \Omega_T = (0, T) \times \Omega,$$

$$\zeta(t) = T(t)\zeta_0 + \int_0^t T(t-s)\phi(\nabla u(s), \zeta(s)) \, ds, \quad \forall t \in [0, T],$$

**where  $T(t)$  is a  $C_0$ -semigroup of contractions generated by the Laplacian  $\kappa \Delta$  in  $C(\overline{\Omega})$ .**

## On the Structure of the Neural Network

We propose to consider NNs with a single hidden layer and  $M$  hidden units of the class

$$W^M = \left\{ w(t, x) : \mathbb{R}^{N+1} \mid w(t, x) = \sum_{i=1}^M \alpha_i \sigma \left( \theta_{0,i} t + \sum_{j=1}^N \theta_{j,i} x_j + c_i \right) \right\},$$

where  $\sigma$  is a nonlinear bounded smooth activation function, and  $\theta^w = \{\alpha_i, \theta_{i,j}, c_i\} \in \mathbb{R}^K$ , with  $K = M(N+3)$ , are the NN's parameters. Setting

$$W = \bigcup_{M \geq 1} W^M,$$

we have the following result:

**Theorem.** [Hornik, 1991] For every  $\varepsilon > 0$  and  $\varphi \in C^{1,2}([0, T] \times \overline{\Omega})$  there exists  $v \in W$  such that

$$\|\varphi - v\|_{C^{1,2}([0, T] \times \overline{\Omega})} < \varepsilon.$$

## The Main Idea of ML Approach

Our main goal is to minimize the following objective functional:

$$\begin{aligned} J(\theta^v, \theta^\xi) = J(v, \xi) = & \|\operatorname{div} (\xi \nabla v) + f\|_{L^2(\Omega_T)}^2 \\ & + \|\xi' - \kappa \Delta \xi - \phi(\nabla v, \xi)\|_{L^2(\Omega_T)}^2 \\ & + \|v\|_{L^2(0, T; \partial\Omega)}^2 + \|1 - \xi\|_{L^2(0, T; \partial\Omega)}^2 \\ & + \|\xi(0, \cdot) - \zeta_0\|_{L^2(\Omega)}^2 \implies \inf_{v, w \in W^M} . \end{aligned} \quad (25)$$



## Open Questions and Expected Results

### Portion 1

- Find out whether the existence of the mild solutions to the original system in the sense of Assumption 1 implies the high integrability property of  $\nabla u$  such that  $\phi(\nabla v, \xi) \in L^2(\Omega_T)$ .
- Can we assert that, for each  $u \in L^2(0, T; W_0^{1,2}(\Omega))$ ,  $\zeta(t, x)$  is a mild solution to

$$\begin{aligned}\zeta' - \kappa \Delta \zeta &= \phi(\nabla u, \zeta) \quad \text{in } \Omega_T, \\ \zeta(0, \cdot) &= \zeta_0 \quad \text{in } \Omega, \quad \zeta = 1 \quad \text{on } (0, T) \times \partial\Omega\end{aligned}$$

if and only is  $\zeta(t, x)$  is a unique duality solution (via approximation of  $\phi(\nabla u, \zeta)$  by  $L^\infty$ -functions)?

## Open Questions and Expected Results

### Portion 2

- Find out whether there exists an approximation  $\{\phi_k\}_{k \in \mathbb{N}} \subset C([0, T] \times \overline{\Omega})$  of

$$\phi(\nabla u, \zeta) = -\lambda_D \left( \frac{1-\zeta}{\zeta} \right) - \frac{1}{2} \lambda_u |\nabla u|_{\mathbb{R}^N}^2 + \lambda_w$$

and elements  $(v^M, \xi^M) \in W \times W$  such that

$$J_M(v^M, \xi^M) \rightarrow 0 \quad \text{as } M \rightarrow \infty, \quad (26)$$

where

$$\begin{aligned} J_M(v, \xi) = & \|\operatorname{div} (\xi \nabla v) + f\|_{L^2(\Omega_T)}^2 \\ & + \|\xi' - \kappa \Delta \xi - \phi_M(\nabla v, \xi)\|_{L^2(\Omega_T)}^2 \\ & + \|v\|_{L^2(0, T; \partial\Omega)}^2 + \|1 - \xi\|_{L^2(0, T; \partial\Omega)}^2 \\ & + \|\xi(0, \cdot) - \zeta_0\|_{L^2(\Omega)}^2. \end{aligned} \quad (27)$$

## Open Questions and Expected Results

### Portion 3

- Can we assert that if a sequence  $\{(v^M, \xi^M)\}_{M=1}^{\infty}$  satisfies property

$$J_M(v^M, \xi^M) \rightarrow 0 \quad \text{as } M \rightarrow \infty, \quad (28)$$

then

$$(v^M, \xi^M) \rightarrow (u, \zeta) \quad \text{in } L^2(\Omega_T),$$

where  $(u, \zeta)$  is a mild solution to the problem

$$-\operatorname{div}(\zeta \nabla u) = f \quad \text{in } \Omega_T = (0, T) \times \Omega, \quad (29)$$

$$u = 0 \quad \text{on } (0, T) \times \partial\Omega, \quad (30)$$

$$\zeta' - \kappa \Delta \zeta = \phi(\nabla u, \zeta) \quad \text{in } \Omega_T, \quad (31)$$

$$\zeta(0, \cdot) = \zeta_0 \quad \text{in } \Omega, \quad \zeta = 1 \quad \text{on } (0, T) \times \partial\Omega, \quad (32)$$

$$\zeta_* \leq \zeta(t, x) \leq 1 \quad \text{a.e. in } \Omega_T, \quad (33)$$

in the sense of Assumption 1?

That's All!! Thank you for your attention



**HAVE A NICE DAY**